Getting to Know PCORI: From Application to Closeout

Welcome and Overview
James Hulbert
Pre-Award Manager
Housekeeping

- Restrooms
- Food
- Where to go for help
- Questions
Help Desk

- Accessing/creating your account in the PCORI Online system
- Updating your application
- Finding your institution
- Assigning your Administrative Official
- Understanding PFA requirements
- Submitting your budget
- Reporting and compliance
- Notifications about the status of your application and funding decisions
- General pre- and post-award processes
Resources

► Thumb Drive
► WiFi
► PCORI Online
► Twitter
► Questions

WiFi Access:
HiltonMTG
901hilton
## Agenda: Day 1 – Morning

<table>
<thead>
<tr>
<th>Time</th>
<th>Topic</th>
<th>Presenter</th>
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</thead>
<tbody>
<tr>
<td>7:00—8:30</td>
<td>Breakfast and Registration</td>
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<tr>
<td>8:30—9:15</td>
<td>Welcome &amp; Overview of PCORI</td>
<td>Scott Solomon</td>
</tr>
<tr>
<td>9:15—10:15</td>
<td>PCORI Funding Announcements (PFA), Methodology Standards and National Priorities</td>
<td>Dave Hickam, Jean Slutsky</td>
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<tr>
<td>10:15—10:30</td>
<td>Break</td>
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<tr>
<td>10:30—11:30</td>
<td>Patient and Stakeholder Engagement</td>
<td>Suzanne Schrandt</td>
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<tr>
<td>11:30—12:30</td>
<td>Panel Discussion: Patient and Stakeholder Engagement in All Phases of Research</td>
<td>Researchers and their Patient and Stakeholder Partners</td>
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</tbody>
</table>
# Agenda: Day 1 – Afternoon

<table>
<thead>
<tr>
<th>Time</th>
<th>Topic</th>
<th>Presenter</th>
</tr>
</thead>
<tbody>
<tr>
<td>1:30—2:00</td>
<td>The Research Plan: PCORI’s Approach to Open Science</td>
<td>David Hickam</td>
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<tr>
<td>2:00—2:30</td>
<td>Communicating Results and Planning for Dissemination</td>
<td>Bill Silberg, Jean Slutsky</td>
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<tr>
<td>2:30—4:15</td>
<td>PCORI’s Application Process: Programmatic and Administrative</td>
<td>Jim Hulbert</td>
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<td>Requirements</td>
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<tr>
<td>4:15—4:45</td>
<td>Q &amp; A Panel</td>
<td>Scott Solomon, Jean Slutsky, Dave Hickam, Suzanne Schrandt, Bill Silberg</td>
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<tr>
<td>4:45—5:00</td>
<td>Wrap-Up: Day One</td>
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<tr>
<td>5:00—7:00</td>
<td>Reception &amp; Dinner— Network with PCORI Experts</td>
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## Agenda: Day 2 – Morning

<table>
<thead>
<tr>
<th>Time</th>
<th>Topic</th>
<th>Presenter</th>
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</thead>
<tbody>
<tr>
<td>9:00—9:15</td>
<td>Recap of Day One</td>
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<tr>
<td>9:15—10:00</td>
<td>PCORI’s Authorizing Law and Mandates</td>
<td>Scott Solomon</td>
</tr>
<tr>
<td>10:00—11:30</td>
<td>PCORI’s Contract Award, Activation, and Closeout</td>
<td>Kim Jackson, Geri Guman, David Salinas</td>
</tr>
<tr>
<td>11:30—12:00</td>
<td>Panel Discussion: What Do PCORI Contracts Administrators Look For?</td>
<td>Geri Guman, David Salinas, Scott Solomon, Kim Jackson</td>
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<tr>
<td>12:00—1:00</td>
<td>Lunch</td>
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Agenda: Day 2 – Afternoon

<table>
<thead>
<tr>
<th>Time</th>
<th>Topic</th>
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<tbody>
<tr>
<td>1:00—2:30</td>
<td>Panel Discussion: Voices of Experience</td>
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<td></td>
<td>Panelists: PCORI Awardees including Principle Investigators (PIs) and</td>
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<td></td>
<td>Research Administrators (RAs)</td>
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<tr>
<td>2:30—3:00</td>
<td>Final Q &amp; A and Day Two Wrap-Up</td>
</tr>
<tr>
<td>3:15—4:15</td>
<td>PCORI’s Online Application System Training <em>(optional)</em></td>
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<td>Presenters: Rohan Dalvi, Ashton Burton, Ceresa Wilson, Osama al-Kahlily</td>
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</table>
Questions before we begin?
Welcome!

Scott Solomon
Director of Contracts
Management and Administration
Session Objectives

► Discuss PCORI’s history, mission, and vision
► Describe the reason for this workshop
► Provide an overview of PCORI’s funding process and research portfolio
Why PCORI?

► Research has not answered many questions patients face
► People want to know which treatment is right for them
► Patients need information they can understand and use
PCORI Has a Broad and Complex Mandate

“The purpose of the Institute is to assist patients, clinicians, purchasers, and policy-makers in making informed health decisions by advancing the quality and relevance of evidence concerning the manner in which diseases, disorders, and other health conditions can effectively and appropriately be prevented, diagnosed, treated, monitored, and managed through research and evidence synthesis...and the dissemination of research findings with respect to the relative health outcomes, clinical effectiveness, and appropriateness of the medical treatments, services…”

-- from The Patient Protection and Affordable Care Act

PCORI Is Accountable for Changing Practice

“(g) FINANCIAL AND GOVERNMENTAL OVERSIGHT. ...
(2) REVIEW AND ANNUAL REPORTS. ...
(iv) Not less frequently than every 5 years ...
... the overall effectiveness of activities conducted under this section ... such review shall include an analysis of the extent to which research findings are used by health care decision-makers, the effect of the dissemination of such findings on reducing practice variation and disparities in health care...”

-- from The Patient Protection and Affordable Care Act
PCORI’s Mission and Vision

► PCORI is an independent, non-profit health research organization authorized by The Patient Protection and Affordable Care Act of 2010

► PCORI funds and shares research that compares the clinical effectiveness of various choices that patients and healthcare providers have when selecting care

Mission

PCORI helps people make informed healthcare decisions and improves healthcare delivery and outcomes by producing and promoting high integrity, evidence-based information that comes from research guided by patients, caregivers, and the broader healthcare community.

Vision

Patients and the public have information they can use to make decisions that reflect their desired health outcomes.
Our Focus

Comparative Clinical Effectiveness Research

- Patient-centered
- Answering questions that matter to patients and other clinical decision makers
- Comparisons of outcomes that matter to patients
Strategic Goals

- Increase Quantity, Quality, and Timeliness of Research Information
- Speed the Implementation and Use of Evidence
- Influence Research Funded by Others
Session Objectives

► Discuss PCORI’s history, mission, and vision
► Describe the reason for this workshop
► Provide an overview of PCORI’s funding process and research portfolio
Reasons for this Workshop

► “Building Bridges”

► PCORI Symposia and Workshops
  • Science
  • Engagement
  • CMA

► Need to improve
  • Application Quality
  • Contract Negotiations
  • During the Award Performance

► Avail ourselves to our counterparts in Research Administration
July PCORI Workshop

► Success!

► Enhancements to this workshop
  - Enhanced Voices of Experience Panel
  - Added new panel: Patient, Partner, Stakeholder
  - New Presentations:
    ► Aligning your Research Topic to a PFA
    ► How to Integrate Methodology Standards
    ► PCORI’s Evolving Communication Strategy, Outlook on Dissemination and Open Science
Where are you from?
PCORI’s Organizational Structure

Government Accountability Office (GAO)

Board of Governors (BoG)

Executive Director

Methodology Committee (MC)

Chief Science Officer

Chief Engagement & Dissemination Officer

Chief Operating Officer
CMA’s Organizational Structure

Contracts Management & Administration (CMA)

- Pre-Award
- Post-Award
- Contracts Communications
- Contracts Systems
Our National Priorities for Research

- Assessment of Prevention, Diagnosis, and Treatment Options
- Improving Healthcare Systems
- Addressing Disparities
- Communication & Dissemination Research
- Accelerating PCOR and Methodological Research
Getting to Know PCORI: From Application to Closeout

Our Program Directors

David Hickam, MD, MPH
Clinical Effectiveness Research

Steven Clauser, PhD, MPA
Improving Healthcare Systems

Jean R. Slutsky, PA, MSPH
Communication & Dissemination Research

Romana Hasnain-Wynia
MS, PhD
Addressing Disparities

Rachael Fleurence, PhD
CER Methods and Infrastructure
Key Features of PCORI Research

Our work answers patients’ questions:

“Given my personal characteristics, conditions, and preferences…”

...what should I expect will happen to me?”

...what are my options and what are the potential benefits and harms of those options?”

...what can I do to improve the outcomes that are most important to me?”

...how can clinicians and the care delivery systems they work in help me make the best decisions about my health and healthcare?”
Key Features of PCORI Research

We engage stakeholders at every step

- Advise Us on What to Study
- Review Proposals and Partner in Research
- Tell Us How We’re Doing
- Help Us Share the Findings

Getting to Know PCORI: From Application to Closeout
PCORI Emphasizes Engagement for Getting to Practical, Useful Research

- Generating and Prioritizing Research Questions
- Portfolio Review
- Advise Us on What to Study
- Review Proposals and Partner in Research
- Tell Us How We’re Doing
- Help Us Share the Findings
- Dissemination and Implementation
- Reviewing and Conducting Research

Getting to Know PCORI: From Application to Closeout
OUR GROWING RESEARCH PORTFOLIO

http://www.pcori.org/research-results
**Funded Projects to Date**

Total number of research projects awarded: **313**

Total funds awarded: **$548.9 million**

Number of states where we are funding research: **38 states** (plus the District of Columbia and Quebec)
Snapshot of Funded Projects

Selected Conditions Studied
(Broad Funding Cycles through July 29, 2014)

- Cardiovascular Diseases: 44 studies
- Mental Disorders: 41 studies
- Cancer: 32 studies
- Endocrine System Diseases: 23 studies
- Nervous System Diseases: 20 studies
- Musculoskeletal Diseases: 14 studies

Selected Populations Studied
(Broad Funding Cycles through July 29, 2014)

- Racial/Ethnic Minorities: 102 studies
- Older Adults: 83 studies
- Socioeconomic Status: 72 studies
- Rural: 56 studies
- Children: 35 studies
- Urban: 29 studies
Getting to Know PCORI: From Application to Closeout

PCORI’s Past Funding Rates

<table>
<thead>
<tr>
<th>Cycle I</th>
<th>Cycle II</th>
<th>Inaugural Methods</th>
<th>Cycle III (Resubmissions)</th>
<th>August Cycle (Resubmissions)</th>
<th>Winter 2014 (Resubmissions)</th>
</tr>
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<tbody>
<tr>
<td>13.7%</td>
<td>5.1%</td>
<td>11.7%</td>
<td>28.9%</td>
<td>11.0%</td>
<td>9.3%</td>
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Current Funding Commitments

![Bar graph showing funding commitments over time](image)
Getting to Know PCORI: From Application to Closeout

LOIs, Applications, and Awards

Funding Cycle

<table>
<thead>
<tr>
<th>Cycle</th>
<th>Applications</th>
<th>Awards</th>
<th>Application Resubmissions</th>
<th>Awards Resubmitted</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cycle I</td>
<td>482</td>
<td>25</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cycle II</td>
<td>434</td>
<td>51</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cycle III</td>
<td>557</td>
<td>71</td>
<td>76</td>
<td>22</td>
</tr>
<tr>
<td>August 2013</td>
<td>461</td>
<td>45</td>
<td>54</td>
<td>13</td>
</tr>
<tr>
<td>Winter 2014</td>
<td>355</td>
<td>33</td>
<td>79</td>
<td>12</td>
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<tr>
<td>Spring 2014</td>
<td>508</td>
<td></td>
<td>76</td>
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Funding by Geographic Distribution

- **West**: 48 awardees (17.5% of total)
- **Midwest**: 54 awardees (19.6% of total)
- **South**: 99 awardees (36% of total)
- **Northeast**: 70 awardees (25.5% of total)
- **Puerto Rico**: 1 awardee (0% of total)
- **Other**: 3 awardees (1% of total)
Comparison of Funding Level Announced with Funding Level Awarded

![Bar chart showing the comparison of funding levels announced versus awarded for various cycles and categories.](chart_image)
Time from Award to Contract Execution

Target = 90 Days

- Cycle I: N=175, 0%
- Cycle II: N=113, 13%
- Cycle III: N=64, 80%
- August 2013: N=70, 70%
- Infrastructure 2013: N=97, 41%
Fixed Price Contracts

Expenditures Against Budget

- Current
- Expected

Pilot Projects
10/31/12

Cycle 1
4/1/13

Average Project Start Date
Getting to Know PCORI: From Application to Closeout

Cost Reimbursable Contracts

Cost-Reimbursable Contracts (expenses through 3/31/2014)

Expenditures Against Budget
- Current
- Expected

<table>
<thead>
<tr>
<th>Cycle II</th>
<th>Cycle III</th>
<th>Inagural Methods</th>
<th>August 2013</th>
<th>Infrastructure Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td>8/1/13</td>
<td>11/1/13</td>
<td>11/1/13</td>
<td>3/1/14</td>
<td>3/15/14</td>
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Average Project Start Date
Our Workshop
The Journey From Application to Closeout
Questions?
Thank You!